



# **THE CULTURE OF INCIDENT REPORTING AMONG FILIPINO NURSES**

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# Background

- over **one million** adverse medical events per year (Kohn, Corrigan & Donaldson, 1999)
- **8th leading cause of death** in the hospital (IOM, 2000)
- **98,000 deaths** from medical errors in U.S. hospitals (Center for Disease Control and Prevention, 1999).



# Background

- equivalent to **two plane crashes** at a major airport per day (Center for Disease Control and Prevention, 1999)
- surpasses that of breast cancer, vehicular accident and even AIDS (IOM, 2000)
- five to 10 percent** are serious medication errors



# Model of safety

FLYING

the safest mode of transportation



# Model of safety

- errors can stem from personal or organizational failures
- learning from errors is vital in maintaining safe practice



# Model of safety

- **identify** and **analyze** the errors, **correct** the source and **prevent** future errors from happening (Barach & Small, 2000)
  - \* adverse events or near misses
- transparency and confidentiality in reporting (Reason, 2000)



# Punitive tradition

- mark of incompetence, carelessness and negligence (Firth-Cozens, 2001; Reason, 2000).
- shame and blame and individual accountability
- fear and secrecy dominates (Kaplan, 2003; Lawton & Parker, 2002)



The single greatest impediment to  
error prevention in the medical  
industry is

“that we punish people for  
making mistakes.”

*Dr. Lucian Leape*

*Professor, Harvard School of Public Health*

*Testimony before Congress on  
Health Care Quality Improvement*



# Problem

- there is very **little** or **no evidence** as to whether the systemic and organizational reaction to errors in patient care and incident reporting in the Philippine setting is similar to that documented in other countries



# Purpose

- identify and describe the culture of incident reporting among Filipino nurses in terms of their **willingness, motivations** and **barriers** to incident reporting



# Methods

- Mixed method
- Quantitative
  - volunteer sampling
  - modified AHRQ (Agency for Health care and Research Quality) Patient Safety Survey (N=54)



# Methods

- Mixed method
- Qualitative
  - snowball sampling
  - focus group discussion (FGD)  
(N=6)



# Qualitative data analysis: Moustakas' method

**Bracketing**

↓ (Journaling of personal feelings and opinions)

**Horizontalization**

↓ (identify significant “horizons” of the experience)

**Imaginative variation**

↓ (investigate all possible alternate meanings and perspectives)

**Cluster of meanings**

↓ (Clustering of similar meaning units)

**Essence**

(reduction of the meanings of experience to their essential invariant structure)



# Results: Work characteristics

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| Primary Work Area ( $N = 54$ )        | <i>f</i> | %           |
|---------------------------------------|----------|-------------|
| Many different units/No specific unit | 14       | <b>25.9</b> |
| Medicine (Non-surgical)               | 1        | 1.9         |
| Surgery                               | 3        | 5.6         |
| Obstetrics                            | 3        | 5.6         |
| Pediatrics                            | 1        | 1.9         |
| Emergency department                  | 6        | <b>11.1</b> |
| Intensive care unit (any type)        | 8        | <b>14.8</b> |
| Out-patient department                | 3        | 5.6         |
| Medical-surgical                      | 11       | <b>20.4</b> |
| Others                                | 4        | 7.4         |

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# Results: Work characteristics

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| Time worked ( $N = 46$ )      | $f$ | %           |
|-------------------------------|-----|-------------|
| --40 to 59 hours per week     | 35  | <b>76.1</b> |
| --20 to 39 hours per week     | 10  | 21.7        |
| --Less than 20 hours per week | 1   | 2.2         |

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# Results: Work characteristics

| <i>In the hospital</i> | <i>f</i> | <i>%</i>    |
|------------------------|----------|-------------|
| --6 to 10 years        | 6        | 13.0        |
| --1 to 5 years         | 13       | <b>28.3</b> |
| --Less than 1 year     | 27       | <b>58.7</b> |

| <i>In current area</i> |    |             |
|------------------------|----|-------------|
| --6 to 10 years        | 4  | 8.7         |
| --1 to 5 years         | 14 | <b>30.4</b> |
| --Less than 1 year     | 28 | <b>60.9</b> |



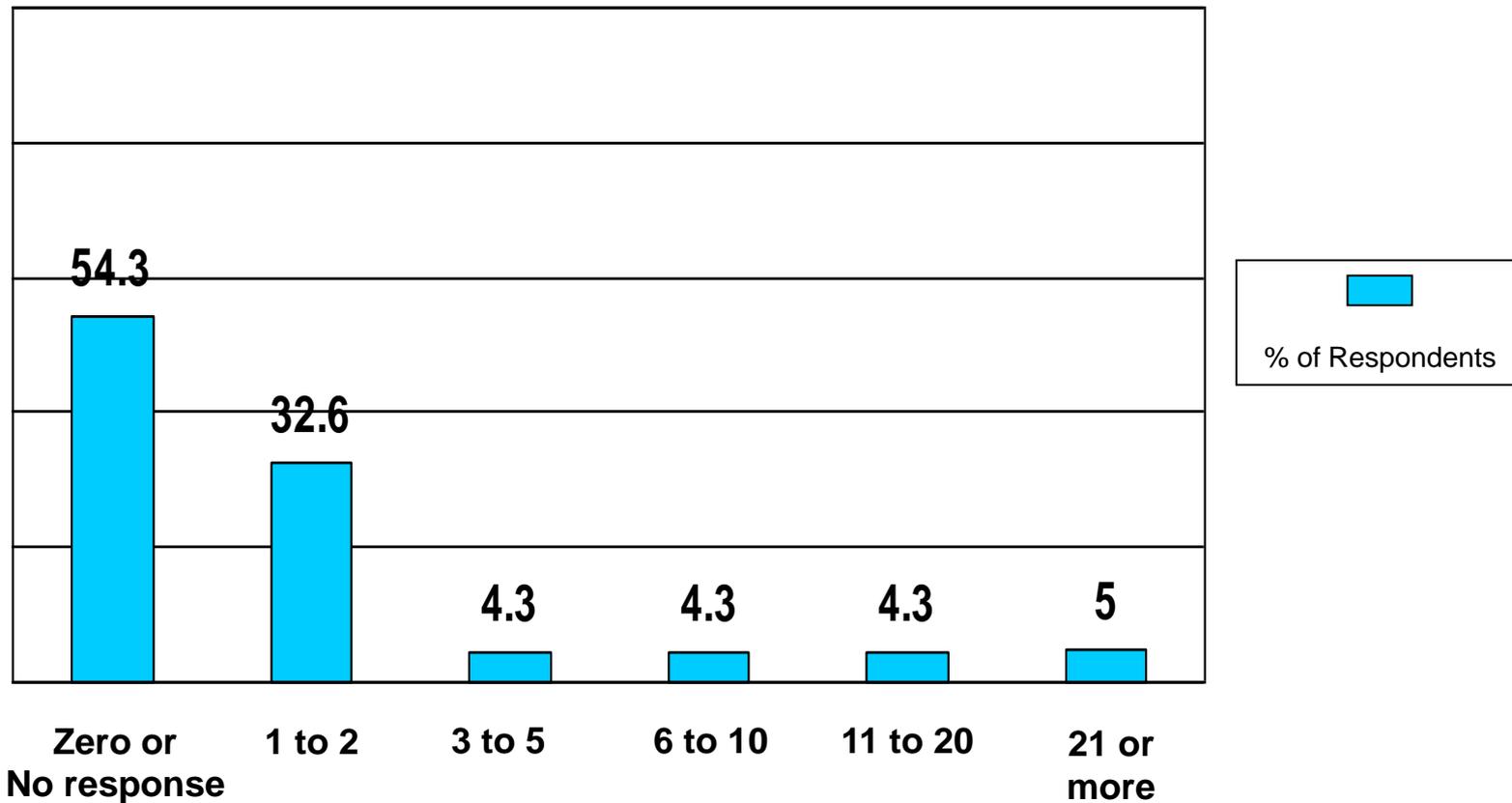
# Results:

## Overall Patient Safety Grade

|   | %<br>Excellent | % Very<br>Good | %<br>Accept<br>able | %<br>Poor | %<br>Failing |
|---|----------------|----------------|---------------------|-----------|--------------|
| E. Please give your work<br>area/unit an overall<br>grade on patient safety | 6.1            | 36.7           | 53.1                | 4.1       | 0.0          |



# Results: Number of Events Reported





# Results:

## Frequency of Events Reported

### Survey Items

 % Never/  
Rarely       % Sometimes       % Most of the  
time/Always

1. When an error is made, but is caught and corrected before affecting the patient, how often is this reported?



2. When an error is made, but has no potential to harm the patient, how often is this reported?



3. When an error is made that could harm the patient, but does not, how often is this reported?





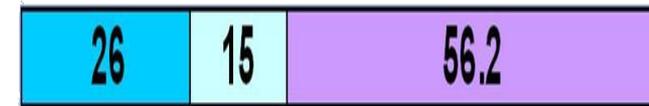
# Results:

## Nonpunititive Response to Error

### Survey Items

1. Staff feel like their mistakes are held against them
2. When an event is reported, it feels like the person is being written up, not the problem
3. Staff worry that mistakes they make are kept in their personnel file

    
% Strongly Disagree/  
Disagree    % Neither  
% Strongly Agree/  
Agree





# **5Ps of incident reporting among Filipino nurses**

## 1. Policy

Organizational and unit practices,  
and leadership



# 5Ps of incident reporting among Filipino nurses

## 1. Policy

“We only give verbal report.  
They’ ve never asked for written  
incident reports” .



# 5Ps of incident reporting among Filipino nurses

## 1. Policy

“I’ ve never known or heard anybody who has ever given a written incident report” .



# 5Ps of incident reporting among Filipino nurses

## 1. Policy

“My boss is very strict when it comes to incident reporting” .



# 5Ps of incident reporting among Filipino nurses

## 1. Policy

“Anything that happens, we have to write it up” .



# 5Ps of incident reporting among Filipino nurses

## 1. Policy

the culture of the organization is a major influence in incident reporting practices (Fein, et al., 2005)



# **5Ps of incident reporting among Filipino nurses**

## 2. Probity

Incident reporting is concomitant  
to integrity and honesty



# 5Ps of incident reporting among Filipino nurses

## 2. Probity

“In the ICU, there’ s limited number of people working at any time an we keep to ourselves, so if there’ s an error, no one would know” .



# 5Ps of incident reporting among Filipino nurses

## 2. Probity

“For me, not reporting the error,  
that reflects the person’s  
honesty, character and value”



# 5Ps of incident reporting among Filipino nurses

## 2. Probity

“If you’ re not honest about the error, you lose the trust. It will be difficult for your boss or co-workers to trust you again” .



# 5Ps of incident reporting among Filipino nurses

## 3. Peril

The degree of error determines whether it will be reported or not.



# 5Ps of incident reporting among Filipino nurses

## 3. Peril

“I haven’ t given it yet, but the doctor saw doctor that I was holding the wrong med so I was asked to do an incident report.”



# 5Ps of incident reporting among Filipino nurses

## 3. Peril

*but if the doctor did not ask you?*

“I wouldn’ t report it, it was corrected before I could give it to the patient, no harm” .



# 5Ps of incident reporting among Filipino nurses

## 3. Peril

“Sometimes we’ ll observe it first, if there’ s no reaction, we won’ t report it. Charge to experience” .



# 5Ps of incident reporting among Filipino nurses

## 3. Peril

JACHO emphasizes that data on caught errors are critical in order to provide insight on how the potential error was prevented, but sadly, these errors are exactly the ones that are never identified because they are not viewed as significant



# 5Ps of incident reporting among Filipino nurses

## 4. Punishment

Punitive response to error.

Incident reporting is used to  
determine who is to blame.



# 5Ps of incident reporting among Filipino nurses

## 4. Punishment

“There’ s always an investigation,  
with a panel even. But it’ s  
always to find who’ s at fault” .



# 5Ps of incident reporting among Filipino nurses

## 4. Punishment

“Never that the hospital accepted the error as systemic rather than individual. The one who committed the error is always the one who is liable” .



# 5Ps of incident reporting among Filipino nurses

## 4. Punishment

“you recognize that you are a professional and you are liable but how about factors like staffing or overtime? Then, when an error occurs, I get the blame” .



# 5Ps of incident reporting among Filipino nurses

## 5. Preservation

incident reporting represents a sense of defense or protection as a response to the punitive culture.



# 5Ps of incident reporting among Filipino nurses

## 5. Preservation

“you learn that it is necessary so that the incident will be properly documented, and you will have that as your defense, something to protect you just in case.”



# 5Ps of incident reporting among Filipino nurses

## 5. Preservation

“For example, the doctor commits an error, and it’s not your fault. You write the report to have proof that it wasn’t your fault” .



# 5Ps of incident reporting among Filipino nurses

## 5. Preservation

“That’ s your license, if you lose it, you’ re done. You lose something you’ ve worked hard for, for so many years” .



# 5Ps of incident reporting among Filipino nurses

## 5. Preservation

There is a perceived need to defend and protect oneself from blame and accountability by having an accurate documentation of the incident that will “save” the nurse from the consequences of errors such as suspension, or termination.

# THE CULTURE OF INCIDENT REPORTING



**POLICY**

**PROBITY**

**PERIL**

**PUNISHMENT**

**PRESERVATION**



# Conclusions

- punitive culture was very evident (Punishment)
- inconsistencies in the knowledge or information about what errors are reportable (Peril)
- the culture of the organization is a major influence in incident reporting practices (Policy)



# Conclusions

- incident reporting was synonymous with being honest about the error that was committed (Probity)
- rather than of secrecy and protectionism, fear of blame and liability was a stronger motivation for Filipino nurses to accomplish an incident report (Preservation)



# References

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**THANK YOU!**